

Multimodal Dependent Type Theory

Daniel Gratzer⁰ G.A. Kavvos⁰ Andreas Nuyts¹ Lars Birkedal⁰

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IT University of Copenhagen

⁰Aarhus University

¹imec-DistriNet, KU Leuven

The problem

We'd like extend Martin-Löf Type Theory and apply it to new situations.

- Staged programming [PD01].
- Proof-irrelevance [Pfe01].
- Guarded recursion [Clo+15; BGM17; Gua18].
- Parametric quantification [ND18].
- Exotic models of computation [Bir00].
- (Abstract) topology [Shu18].
- Differential geometry [Wel18].

Where do modalities come in?

Martin-Löf Type Theory satisfies several desirable properties which help make it convenient to use (canonicity, decidability of type-checking, etc.).

Problem If we naively add new features, we will disrupt these properties.

Solution Modalities can manage new features in a controlled way.

Each example uses *modalities* to extended MLTT while preserving crucial properties.

A tangent: what exactly is a modality?

In general, people use *modality* to mean many different things:

1. Any unary type constructor.
2. A unary type constructor which is an *internal functor*.
3. A unary type constructor equipped with a *monad* structure.

For us, a modality is essentially a right adjoint.¹

This restriction yields a practical syntax and still includes many examples.

¹More specifically, a modality is essentially a *dependent* right adjoint [Bir+20]

A story of several type theories

Let us consider a representative example of how modal type theories are developed.

1. Work on guarded recursion converges towards the Fitch-style [BGM17; Clo18].
2. Birkedal et al. [Bir+20] isolate this into paradigmatic type theory.
3. Gratzer, Sterling, and Birkedal [GSB19] prove normalization for a similar system.

Each of these type theories build upon each other... but no reuse is possible.

Our Contribution: MTT

We introduce MTT: a type theory parameterized by a collection of modalities.

- MTT features usual connectives of Martin-Löf Type Theory, including a universe.
- The user can instantiate MTT with different collections of modalities.
- Important results such as canonicity are proven irrespective of the modalities.

We have applied MTT to several different situations:

- Axiomatic cohesion
- Degrees of relatedness
- Guarded recursion and warps
- Various classic modal type theories

Revisiting our story

Let us reconsider the previous example with [Bir+20] and [GSB19].

With MTT we would not design two separate type theories!

- Instantiate MTT twice to yield type theories similar to the originals.
- Prove normalization for MTT *once*, and transfer the result to both instantiations.

MTT makes the superficial similarity into a formal relationship.

Modes and Mode theories

MTT is a *multimode* type theory, not just *multimodal*.

- Each mode is its own separate type theory, with modalities bridging between them.
- As an example, spatial type theory has two modes: sets and spaces.

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We follow [LS16; LSR17] and specify our modalities as a mode theory, a 2-category:

object \sim mode

morphism \sim modality

2-cell \sim natural map between modalities

An example: an idempotent comonad

The mode theory for an idempotent comonad is generated from the following data:

objects: $\{m\}$ morphisms: $\{\mu : m \rightarrow m\}$ 2-cells: $\{\epsilon : \mu \Rightarrow 1\}$

Furthermore, $\mu \circ \mu = \mu$ and that $\alpha = \beta$ for any pair of 2-cells.

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This induces a single modality $\langle \mu \mid - \rangle$ with the following operations:

$$\frac{\Gamma \vdash M : \langle \mu \mid A \rangle @ m}{\Gamma \vdash \text{extract}(M) : A @ m}$$

$$\frac{\Gamma \vdash M : \langle \mu \mid A \rangle @ m}{\Gamma \vdash \text{duplicate}(M) : \langle \mu \mid \langle \mu \mid A \rangle \rangle @ m}$$

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The fact that this mode theory is *poset*-enriched ensures that the comonad laws hold.

MTT, more formally

We will now introduce MTT a bit more carefully. Let us fix a mode theory \mathcal{M} .

MTT is stratified into the following judgments:

$$\Gamma \text{ ctx } @ m \quad \Gamma \vdash A \text{ type } @ m \quad \Gamma \vdash M : A @ m \quad \Gamma \vdash \delta : \Delta @ m$$

Each judgment is localized to a mode and each mode contains a copy of MLTT.

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We will ignore these today.



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Each judgment is localized to a mode and each mode contains a copy of MLTT.

Slogan: modalities act like functors between modes.

Given a closed type $A @ n$ and $\mu : n \rightarrow m$, there is a closed type $\langle \mu | A \rangle @ m$.

This doesn't easily scale to open types:

$$\frac{\Gamma \vdash A \text{ type } @ n \quad \mu : n \rightarrow m}{\Gamma \vdash \langle \mu | A \rangle \text{ type } @ m}$$

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We require additional *judgmental* structure to make sense of modal types.

Fitch-style contexts

MTT uses a Fitch-style context so modalities to have an *adjoint* action on contexts:

$$\frac{\mu : n \rightarrow m \quad \Gamma \text{ ctx @ } m}{\Gamma, \mathbf{\mu}_\mu \text{ ctx @ } n}$$

While it is not entirely accurate, it is helpful to imagine $-, \mathbf{\mu}_\mu \dashv \langle \mu \mid - \rangle$.

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Accordingly, the introduction and formation rules are transposition:

$$\frac{\mu : n \rightarrow m \quad \Gamma, \mathbf{\mu}_\mu \vdash A \text{ type } @ n}{\Gamma \vdash \langle \mu \mid A \rangle \text{ type } @ m} \qquad \frac{\mu : n \rightarrow m \quad \Gamma, \mathbf{\mu}_\mu \vdash M : A @ n}{\Gamma \vdash \text{mod}_\mu(M) : \langle \mu \mid A \rangle @ m}$$

These rules follow other Fitch-style type theories [BGM17; Clo18; Bir+20; GSB19].

Fitch-style contexts with multiple modalities

Prior work had one modality, hence one lock. How do we scale to many modalities?

$$\frac{\Gamma \text{ ctx @ } m}{\Gamma = \Gamma, \mathfrak{L}_1 \text{ ctx @ } m}$$

$$\frac{\nu : o \rightarrow n \quad \mu : n \rightarrow m \quad \Gamma \text{ ctx @ } m}{\Gamma, \mathfrak{L}_\mu, \mathfrak{L}_\nu = \Gamma, \mathfrak{L}_{\mu \circ \nu} \text{ ctx @ } o}$$

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In fact, \mathfrak{L} is part of a 2-functor from $\mathcal{M}^{\text{coop}}$ to contexts and substitutions.

Definition

Given a 2-cell $\alpha : \mu \Rightarrow \nu$ and a term $\Gamma, \mathfrak{L}_\nu \vdash M : A @ m$, there is a derived operation $(-)^{\alpha}$ such that $\Gamma, \mathfrak{L}_\mu \vdash M^{\alpha} : A^{\alpha} @ m$.

Secretly, this is built from a modal substitution behaving like a natural transformation.

What about variables?

Locks allow us to state the formation rule for modalities, but what about variables?

With the standard variable rule, we again have a mode error!

$$\frac{\mu : n \rightarrow m}{x : A, \mathfrak{L}_\mu \vdash x : A @ n}$$

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- Previous Fitch-style type theories handled this through an elimination rule.
- In MTT, we will introduce a final piece of judgmental structure.

Variable annotations

In addition to locks, each variable in the context will be annotated with a modality.

$$\frac{\mu : n \rightarrow m \quad \Gamma \text{ ctx } @ m \quad \Gamma, \mathfrak{L}_\mu \vdash A \text{ type } @ n}{\Gamma, x : (\mu \mid A) \text{ ctx } @ m}$$

Another rough intuition: $\Gamma, x : (\mu \mid A) \cong \Gamma, x : \langle \mu \mid A \rangle$.

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We can use these annotations to give a more sensible variable rule:

$$\frac{\mu : n \rightarrow m}{\Gamma, x : (\mu | A), \mathfrak{L}_\mu \vdash x : A @ n}$$

Intuition: this is the counit of the adjunction $-, \mathfrak{L}_\mu \dashv \langle \mu | - \rangle$.

What about 2-cells?

This variable rule is a bit restrictive; it requires the annotation and lock to match.

We can relax a bit and require only a *2-cell* between the annotation and the lock.

$$\frac{\mu, \nu : n \rightarrow m \quad \alpha : \mu \Rightarrow \nu}{\Gamma, x : (\mu \mid A), \mathfrak{L}_\nu \vdash x^\alpha : A^\alpha @ n}$$

In fact, this rule is a combination of the ‘counit’ rule and the action of \mathfrak{L} on 2-cells.

Modal induction

The final piece of the puzzle is the elimination rule for $\langle \mu \mid A \rangle$.

- At a high-level this rule let's us replace $x : \langle \mu \mid A \rangle$ with $y : (\mu \mid A)$.
- We must generalize to replacing $x : (\nu \mid \langle \mu \mid A \rangle)$ with $y : (\nu \circ \mu \mid A)$.
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Fix $\nu : m \rightarrow o$, $\mu : n \rightarrow m$. The elimination rule for μ is as follows:

$$\frac{\begin{array}{c} \Gamma, x : (\nu \mid \langle \mu \mid A \rangle) \vdash B \text{ type}_1 @ o \\ \Gamma, y : (\nu \circ \mu \mid A) \vdash M_1 : B[\text{mod}_\mu(y)/x] @ o \\ \Gamma, \mathbf{lock}_\nu \vdash M_0 : \langle \mu \mid A \rangle @ m \end{array}}{\Gamma \vdash \text{let}_\nu \text{ mod}_\mu(y) \leftarrow M_0 \text{ in } M_1 : B[M_0/x] @ o}$$

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The base case \rightarrow

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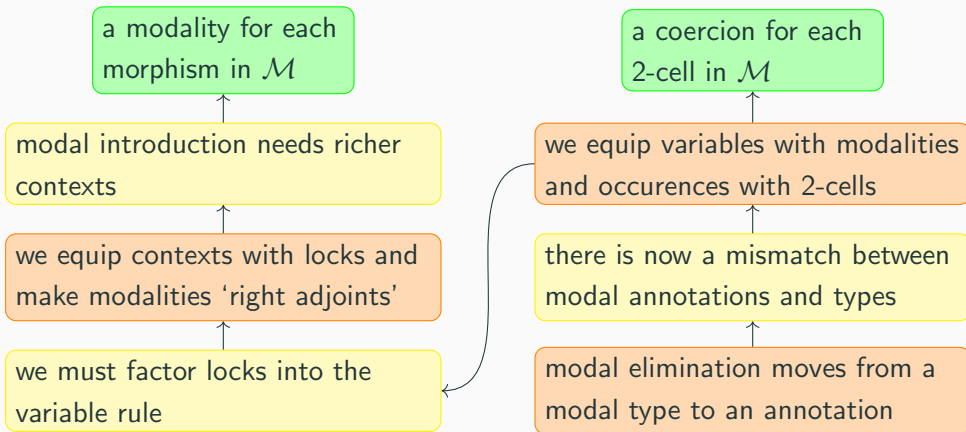
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The term we pattern match on.

Taking stock of MTT

It's easy to feel this is just “one damn rule after another”, but at a high-level:



Summary of crucial modal rules

$$\frac{\mu : n \rightarrow m \quad \Gamma \text{ ctx } @ m}{\Gamma, \mathfrak{L}_\mu \text{ ctx } @ n} \quad \frac{\mu : n \rightarrow m \quad \Gamma \text{ ctx } @ m \quad \Gamma, \mathfrak{L}_\mu \vdash A \text{ type}_1 @ n}{\Gamma, x : (\mu \mid A) \text{ ctx } @ m}$$

$$\frac{\mu : n \rightarrow m \quad \Gamma, \mathfrak{L}_\mu \vdash A \text{ type}_\ell @ n}{\Gamma \vdash \langle \mu \mid A \rangle \text{ type}_\ell @ m} \quad \frac{\nu : m \rightarrow n \quad \alpha : \nu \Rightarrow \text{locks}(\Gamma_1)}{\Gamma_0, x : (\nu \mid A), \Gamma_1 \vdash x^\alpha : A^\alpha @ m}$$

$$\frac{\mu : n \rightarrow m \quad \Gamma, \mathfrak{L}_\mu \vdash M : A @ n}{\Gamma \vdash \text{mod}_\mu(M) : \langle \mu \mid A \rangle @ m}$$

$$\frac{\mu : n \rightarrow m \quad \nu : m \rightarrow o \quad \Gamma, x : (\nu \mid \langle \mu \mid A \rangle) \vdash B \text{ type}_1 @ o \quad \Gamma, \mathfrak{L}_\nu \vdash M_0 : \langle \mu \mid A \rangle @ m \quad \Gamma, x : (\nu \circ \mu \mid A) \vdash M_1 : B[\text{mod}_\mu(x)/x] @ o}{\Gamma \vdash \text{let}_\nu \text{ mod}_\mu(x) \leftarrow M_0 \text{ in } M_1 : B[M_0/x] @ o}$$

Modal combinators

The mode theory is reflected into MTT as a series of modal combinators:

$$\begin{aligned}\langle 1 \mid A \rangle &\simeq A \\ \langle \mu \mid \langle \nu \mid A \rangle \rangle &\simeq \langle \mu \circ \nu \mid A \rangle \\ \langle \mu \mid A \rangle &\rightarrow \langle \nu \mid A \rangle && \text{(For each } \alpha : \mu \Rightarrow \nu \text{)} \\ \langle \mu \mid A \rightarrow B \rangle &\rightarrow (\langle \mu \mid A \rangle \rightarrow \langle \mu \mid B \rangle)\end{aligned}$$

All of these follow because \mathfrak{M} is a 2-functor out of $\mathcal{M}^{\text{coop}}$:

$$\Gamma.\mathfrak{M}_1 = \Gamma \text{ ctx } @ m \quad \Gamma.\mathfrak{M}_\mu.\mathfrak{M}_\nu = \Gamma.\mathfrak{M}_{\mu \circ \nu} \text{ ctx } @ m \quad \Gamma.\mathfrak{M}_\nu \vdash \mathfrak{Q}_\Gamma^\alpha : \Gamma.\mathfrak{M}_\mu @ m$$

Example definitions of modal combinators

To get a feel for MTT, let us define some of these combinators.

Programs

$$\text{coe}[\alpha : \mu \Rightarrow \nu](-) : \langle \mu \mid A \rangle \rightarrow \langle \nu \mid A^\alpha \rangle$$
$$\text{coe}[\alpha](x) \triangleq \square$$

Holes

$$x : (1 \mid \langle \mu \mid A \rangle) \vdash \square : \langle \nu \mid A \rangle$$

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Results about MTT

A major strength of MTT is that we can prove theorems *irrespective* of \mathcal{M} .

Theorem (Consistency)

There is no term $\cdot \vdash M : \text{Id}_{\mathbb{B}}(\text{tt}, \text{ff}) @ m$.

Theorem (Canonicity)

Subject to a technical restriction, if $\cdot \vdash M : A @ m$ is a closed term, then the following conditions hold:

- *If $A = \mathbb{B}$, then $\cdot \vdash M = \text{tt} : \mathbb{B} @ m$ or $\cdot \vdash M = \text{ff} : \mathbb{B} @ m$.*
- *If $A = \text{Id}_{A_0}(N_0, N_1)$ then $\cdot \vdash M = \text{refl}(N_0) : \text{Id}_{A_0}(N_0, N_1) @ m$.*
- *If $A = \langle \mu \mid A_0 \rangle$ then $\cdot \vdash M = \text{mod}_{\mu}(N) : \langle \mu \mid A_0 \rangle @ m$ for some N .*

As time permits we'll return to canonicity, but for now just take it on faith.

Example: guarded recursion

The other major strength of MTT is that we can use it to model interesting examples!

- We'll be interested in using MTT to model *guarded recursion*.
- Guarded recursion is naturally multimode.
- This situation crucially requires the *interaction* of modalities.

Guarded recursion: a brief introduction

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Guarded recursion: a brief introduction

Guarded recursion uses two modalities to isolate productive and coinductive programs.

1. The later modality \blacktriangleright tags computation which are available at the next step:

$$\text{next} : A \rightarrow \blacktriangleright A \qquad \text{l\"ob} : (\blacktriangleright A \rightarrow A) \rightarrow A$$

2. The always modality \square tags computation which do not depend on the time step:

$$\text{extract} : \square A \rightarrow A \qquad \text{dup} : \square A \simeq \square \square A$$

These two modalities interact in a crucial way to give *coinductive* programs:

$$\text{now} : \square \blacktriangleright A \rightarrow \square A$$

Splitting guarded recursion into 2 modes

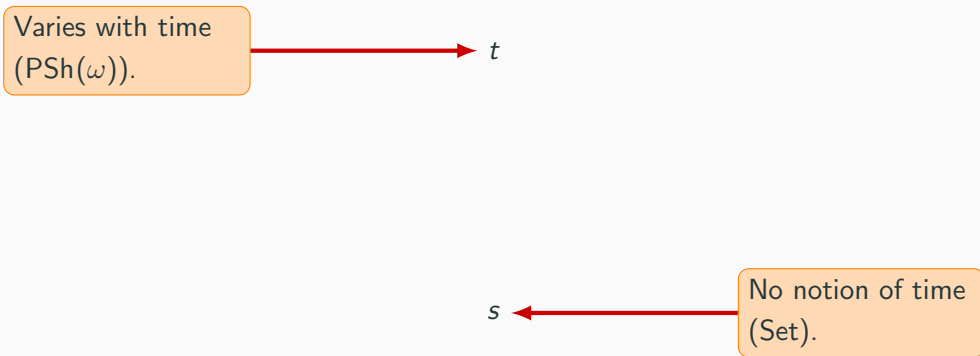
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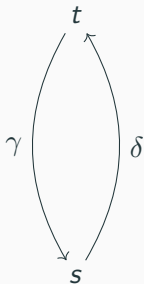
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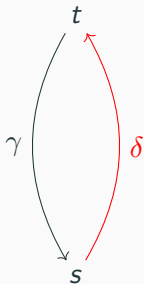
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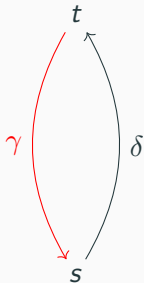


Allow a constant type to trivially vary over time.

Splitting guarded recursion into 2 modes

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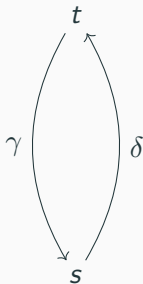
Restrict a varying type to global elements.



Splitting guarded recursion into 2 modes

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$$\Box A \triangleq \langle \delta \circ \gamma \mid A \rangle$$



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$$\square A \triangleq \langle \delta \circ \gamma \mid A \rangle$$

$$\blacktriangleright A \triangleq \langle l \mid A \rangle$$

$$\Gamma A \triangleq \langle \gamma \mid A \rangle$$

$$\Delta A \triangleq \langle \delta \mid A \rangle$$

Splitting guarded recursion into 2 modes

Previous guarded type theories had a single mode, we opt for 2 *modes*.

$$\delta \circ \gamma \leq 1 \quad 1 = \gamma \circ \delta$$

$$1 \leq \ell \quad \gamma = \gamma \circ \ell$$



$$\square A \triangleq \langle \delta \circ \gamma \mid A \rangle$$

$$\blacktriangleright A \triangleq \langle \ell \mid A \rangle$$

$$\Gamma A \triangleq \langle \gamma \mid A \rangle$$

$$\Delta A \triangleq \langle \delta \mid A \rangle$$

Modal operations

The terms for the following operations are all induced by generic combinators:

$$\begin{array}{lll} \text{next} : A \rightarrow \blacktriangleright A & - \circledast - : \blacktriangleright(A \rightarrow B) \rightarrow \blacktriangleright A \rightarrow \blacktriangleright B & \text{extract} : \square A \rightarrow A \\ \text{dup} : \square A \simeq \square \square A & \text{now} : \square \blacktriangleright A \rightarrow \square A & \end{array}$$

In particular, `next` and `extract` are instances of coercions, while `dup` and `now` follow from associativity.

What about Löb?

- We can use the standard operations on MTT to derive all operations except Löb.
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Instead, we have to simply axiomatize Löb:

$$\frac{\Gamma, x : (\ell \mid A^{1 \leq \ell}) \vdash M : A @ t}{\Gamma \vdash \text{löb}(x. M) : A @ t}$$

$$\frac{\Gamma, x : (\ell \mid A^{1 \leq \ell}) \vdash M : A @ t}{\Gamma \vdash \text{löb}(x. M) = \text{let mod}_\ell(x) \leftarrow \text{next}(\text{löb}(x. M)) \text{ in } M : A @ t}$$

(NB: $A^{1 \leq \ell}$ moves A from the Γ to $\Gamma.\mathbf{A}_\ell$)

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(NB: $A^{1 \leq \ell}$ moves A from the Γ to $\Gamma.\mathbf{\ell}$)

(NB: We follow Bizjak et al. [Biz+16] and just work in an extensional type theory.)

An aside: modality-specific operations

It's not clear yet what the proper formulation of Löb would be.

It belongs to a large class of operations which entangle a modality and another connective (in this case, \rightarrow and \blacktriangleright) which we term *modality-specific*.

Question

Is there a reasonable class of modality-specific operations that can be handled uniformly?

Now that we have these combinators established, we can use them to write guarded programs.

$$\text{Str}'_A \triangleq \text{löb}(S. \Delta(A) \times \blacktriangleright S)$$

$$\text{Str} : U \rightarrow U @ s$$

$$\text{Str}(A) \triangleq \Gamma(\text{Str}'_A)$$

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We only have one clock so coinductive streams only work on constant data.

Now that streams are defined, we can write down an operator on them:

$$\begin{aligned} \text{go} &: \Delta(A \rightarrow B \rightarrow C) \rightarrow \text{Str}'_A \rightarrow \text{Str}'_B \rightarrow \text{Str}'_C \\ \text{go}(f) &\triangleq \text{l\"ob}(r. \lambda x, y. (f \textcircled{*}_\delta x_h \textcircled{*}_\delta y_h, \text{mod}_\ell(r) \textcircled{*}_\ell x_t \textcircled{*}_\ell y_t)) \end{aligned}$$

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$$\begin{aligned} \text{zipWith} &: (A \rightarrow B \rightarrow C) \rightarrow \text{Str}(A) \rightarrow \text{Str}(B) \rightarrow \text{Str}(C) \\ \text{zipWith}(f) &\triangleq \lambda x, y. \text{mod}_\gamma(\text{go}(\text{mod}_\delta(f))) \text{ *}_\gamma x \text{ *}_\gamma y \end{aligned}$$

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We can use the ambient dependent type theory to show that zipWith preserves e.g. commutativity.

Guarded recursion conclusions

Experimentally, this calculus for guarded recursion is reasonably pleasant for pen-and-paper calculations!

There are a few missing things:

1. Using extensional type theory makes a standard implementation impossible.
2. Using only \square and \blacktriangleright makes a few things simple, but lacks the expressivity of clocks.

Going forward, we'd like to address these limitations, especially the first!

Conclusions

We introduce MTT: a type theory parameterized by a collection of modalities.

- MTT features usual connectives of Martin-Löf Type Theory, including a universe.
- The user can instantiate MTT with different collections of modalities.
- Important results such as canonicity are proven irrespective of the modalities.

We have applied MTT to several different situations:

- Axiomatic cohesion
- Degrees of relatedness
- Guarded recursion and warps
- Various classic modal type theories

<https://jozefg.github.io/papers/multimodal-dependent-type-theory.pdf>

<https://jozefg.github.io/papers/type-theory-a-la-mode.pdf>

Bonus slides!

Bonus slides is code for “very technical slides I liked too much to delete entirely”.

The modal substitution calculus

Before we talk about the canonicity proof, we need to quickly show the explicit substitution calculus.

$$\frac{\mu : n \rightarrow m \quad \Gamma \vdash \delta : \Delta @ m}{\Gamma.\mathbf{lock}_\mu \vdash \delta.\mathbf{lock}_\mu : \Delta.\mathbf{lock}_\mu @ n} \quad \frac{\alpha : \mu \Rightarrow \nu}{\Gamma.\mathbf{lock}_\nu \vdash \mathcal{Q}_\Gamma^\alpha : \Gamma.\mathbf{lock}_\mu @ n} \quad \frac{\Gamma \vdash \delta : \Delta @ m}{\Gamma \vdash \delta.\mathbf{lock}_1 = \delta : \Delta @ m}$$

$$\frac{\mu : n \rightarrow m \quad \nu : o \rightarrow n \quad \Gamma \vdash \delta : \Delta @ m}{\Gamma.\mathbf{lock}_{\mu \circ \nu} \vdash \delta.\mathbf{lock}_{\mu \circ \nu} = \delta.\mathbf{lock}_\mu.\mathbf{lock}_\nu : \Delta.\mathbf{lock}_{\mu \circ \nu} @ m}$$

$$\frac{\mu, \nu : n \rightarrow m \quad \alpha : \nu \Rightarrow \mu \quad \Gamma \vdash \delta : \Delta @ m}{\Gamma.\mathbf{lock}_\mu \vdash \mathcal{Q}_\Delta^\alpha \circ (\delta.\mathbf{lock}_\mu) = (\delta.\mathbf{lock}_\nu) \circ \mathcal{Q}_\Gamma^\alpha : \Delta.\mathbf{lock}_\nu @ n}$$

Proving canonicity via gluing proof

How does gluing work?

1. Define a category of models, syntax is (by definition) the initial model.
2. Define \mathcal{G} which equips elements of some model \mathcal{M} with a proof of e.g. canonicity.
3. Define a projection from the \mathcal{M} to the \mathcal{G} which forgets the proof.
4. Use the initiality of syntax to obtain a section to projection.
5. Conclude that every element of the initial model enjoys e.g. canonicity.

Best thought of as a categorification of logical relations, where we also allow proof relevance.

Advantage of the gluing approach

- We can already attempt to prove e.g. canonicity via standard syntactic logical relations.
- The goal is to make these proofs simpler by excavating the categorical structure.
- Another big advantage is the switch to proof-relevance: necessary to handle universes well!

Where do I learn more?

Gluing is not a new idea, but two recent preprints cover some of my own perspectives on it:

1. Sterling and Spitters [SS18], an arxiv preprint about the simply-typed case.
2. Sterling, Angiuli, and Gratzner [SAG20], another preprint covering the dependently-typed case.

The key line of development at the moment is how to make gluing more *mathematical*. Frustratingly, the ideas proposed in the latter are complex to scale to modalities.

The models of MTT

In order to apply gluing, we construct a category of models for MTT [Car78].

- A model of MTT is built around a 2-functor $\mathcal{M} : \mathcal{M} \rightarrow \text{Cat}$ which sends each mode to a category of contexts.
- We require a CWF for each $\mathcal{M}[m]$ (including Σ, Π, Id , etc.).
- Each 1-cell in \mathcal{M} must induce a modality relating the two different modes.

NB: If we instead worked with dependent right adjoints, this becomes even simpler because a modality has such a nice semantic characterization!

The technical restriction

The difficulty in the gluing proof for MTT is handling modalities in the glued model.

1. I know how to do this in a very clean way for simply-typed languages or when the modalities are dependent right adjoints, but it's hard in MTT.
2. In order to simplify, we insist $\cdot.\mu = \cdot$ (the left adjoint preserves terminals).
3. We are presently working to remove this restriction.

Why does this restriction help? It allows us to work exclusively with closed terms.

Otherwise we need to also work with terms in the context $\cdot.\mu$.

Further details for our proof are given in the accompanying technical report.